

## Divisional Application Transmittal Form

|   |                       |  |   |   |                 |  |
|---|-----------------------|--|---|---|-----------------|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><br>(Only for new nonprovisional applications under 37 CFR 1.53(b))  |                       | Attorney Docket No. <b>NOK-014-006</b>                   |   | Total Pages   |                 |  |
|   |                       | First named Inventor or Application Identifier           |   |   | Naoya NAKANISHI |  |
|   |                       | Title of Invention                                       |   | NONAQUEOUS ELECTROLYTE SECONDARY CELLS AND PROCESS FOR FABRICATING SAME |                 |  |
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   |                       |  | ADDRESS TO: Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231  |   |                 |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form<br>2. <input checked="" type="checkbox"/> Specification [Total Pages <u>90</u> ]<br>- Descriptive title of the Invention<br>- Cross References to Related Applications<br>- Statement Regarding Fed sponsored R & D<br>- Reference to Microfiche Appendix<br>- Background of the Invention<br>- Brief Summary of the Invention<br>- Brief Description of the Drawings (if filed)<br>- Detailed Description<br>- Claim(s)<br>- Abstract of the Disclosure<br>3. <input checked="" type="checkbox"/> Formal Drawings (35 USC 113) [Total Sheets <u>22</u> ]<br>4. <input checked="" type="checkbox"/> Oath or Declaration [Total pages <u>3</u> ]<br>a. <input type="checkbox"/> Newly executed (original or copy)<br>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 17 completed)<br>[Note Box 5 below]<br>i. <input type="checkbox"/> <b><u>DELETION OF INVENTOR(S)</u></b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).<br>5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked)<br>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |                       |  | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix)<br>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br>a. <input type="checkbox"/> Computer Readable Copy<br>b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br>c. <input type="checkbox"/> Statement verifying identity of above copies<br><br><b>ACCOMPANYING APPLICATION PARTS</b><br>8. <input type="checkbox"/> Assignment papers (cover sheet & document(s))<br>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (When there is an assignee)<br><input type="checkbox"/> Power of Attorney<br>10. <input type="checkbox"/> English Translation Document (if applicable)<br>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) /PTO-1449<br><input type="checkbox"/> Copies of IDS Citations (* docs)<br>12. <input type="checkbox"/> Preliminary Amendment with Version with Markings to Show Changes Made<br>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>14. <input type="checkbox"/> Assertion to Entitlement to Small Entity Status<br><input type="checkbox"/> Assertion filed in prior application, status still proper and desired<br>15. <input checked="" type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Priority of application Nos. 11-226490 and 11-267712 filed on August 10, 1999, & September 21, 1999, respectively, in Japan are claimed under 35 USC 119.<br><input checked="" type="checkbox"/> The certified copy has been filed in prior application Serial No. 09/636,506<br>16. <input checked="" type="checkbox"/> Other:<br><input checked="" type="checkbox"/> Copy of Notice of Recordation of Assignment Document<br><input type="checkbox"/> Request for Change of Corresponding Address |   |                 |  |
| 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:<br><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in part (CIP)<br>of prior application No.: <u>09/636,506</u>   |                       |  |   |   |                 |  |
| <b>18. CORRESPONDENCE ADDRESS</b>   |                       |  |   |   |                 |  |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <u>020374</u>   |                       | or <input type="checkbox"/> Correspondence address below |   |   |                 |  |
| NAME  | KUBOVCIK & KUBOVCIK   |  |   |   |                 |  |
| ADDRESS   | 900 17th Street, N.W. |  |   |   |                 |  |
| CITY  | Washington            | STATE  | DC  | ZIP CODE  | 20006           |  |
| FILING DATE   | February 27, 2002     | TEL  | 202-887-9023  | FAX   | 202-887-9093    |  |

1132 U.S. PTO  
02/27/0210/083582  
02/27/02

## FEE TRANSMITTAL

Note: Effective October 1, 2001

|   |                        |                   |
|---|------------------------|-------------------|
| <b>Fee Transmittal</b><br><br><b>Claims as Filed-Part 1</b><br><br><b>Method of Payment</b><br><br><b>Signature of Attorney, or Agent</b> | Application Number     | Not Assigned      |
|   | Filing Date            | February 27, 2002 |
|   | First Named Inventor   | Naoya NAKANISHI   |
|   | Group Art Unit         | Not Assigned      |
|   | Examiner Name          | Not Assigned      |
|   | Attorney Docket Number | NOK-014-006       |

| CLAIMS AS FILED-PART 1                             |              |              | SMALL ENTITY  |          | OTHER THAN SMALL ENTITY |                 |
|--|--------------|--------------|---------------|----------|-------------------------|-----------------|
| FOR  | NUMBER FILED | NUMBER EXTRA | RATE          | FEE      | RATE                    | FEE             |
| BASIC FEE<br>(37 CFR 1.16 (a))                     |              |              |               | \$370.00 |                         | \$740.00        |
| TOTAL CLAIMS<br>(37 CFR 1.16 (c))                  | 14 - 20 =    |              | \$9.00        |          | \$18.00                 | \$0.00          |
| INDEPENDENT CLAIMS<br>(37 CFR 1.16 (B))            | 1 - 3 =      |              | \$42.00       |          | \$84.00                 | \$0.00          |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d)) |              |              | \$140.00      |          | \$280.00                |                 |
|  |              |              | SUB TOTAL     |          | SUB TOTAL               | \$740.00        |
| SURCHARGE-LATE FILING FEE OR DECLARATION           |              |              | \$65.00       |          | \$130.00                |                 |
| RECORDING ASSIGNMENT                               |              |              | \$40.00       |          | \$40.00                 |                 |
| <b>TOTAL</b>                                       |              |              | <b>\$0.00</b> |          |                         | <b>\$740.00</b> |

| METHOD OF PAYMENT (check one)   |                     |   |
|---|---------------------|---|
| 1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to credit overpayments or charge insufficiencies to: |                     | 2. <input checked="" type="checkbox"/> Payment Enclosed:  |
| DEPOSIT ACCOUNT No.   | 111833              | <input checked="" type="checkbox"/> Check (# <u>4504</u> for \$ <u>740.00</u> )<br><input type="checkbox"/> Money Order<br><input type="checkbox"/> Other |
| DEPOSIT ACCOUNT NAME  | KUBOVCIK & KUBOVCIK |   |

| SIGNATURE OF ATTORNEY, OR AGENT |   |                  |  |
|---------------------------------|---|------------------|--|
| NAME                            | Keiko Tanaka Kubovcik   | REGISTRATION No. | 40,428   |
| SIGNATURE                       |  | ADDRESS          | KUBOVCIK & KUBOVCIK<br>900 17th Street, N.W.<br>Washington, D.C. 20006 |
| DATE                            | February 27, 2002   | TELEPHONE        | 202-887-9023   |
|                                 |   | FAX              | 202-887-9093   |

KTK/emd